

Annex 20 – Private Sector Integration

Primary Agency:	Illinois Emergency Management Agency (IEMA)
Support Agencies:	General Requirements for Other State Agencies, Boards and Commissions
Support Organizations:	Private Sector Organizations and Industry County and Municipal Government
Critical Infrastructure:	Chemical Sector Commercial Facilities Sector Communications Sector Critical Manufacturing Sector Defense Industrial Base Sector Emergency Services Sector Energy Sector Financial Services Sector Food and Agriculture Sector Government Facilities Sector Healthcare and Public Health Sector Information Technology Sector Nuclear Reactors, Materials and Waste Sector Transportation Systems Sector Water and Wastewater Systems Sector

I. Introduction

A. Purpose

1. Provide state agencies with strategic and operational guidance for private sector integration.

B. Scope

1. This annex addresses incident response and recovery.
2. Laws, rules, regulations and policy of the United States government and agencies are considered valid and/or applicable within the State of Illinois.
3. State Emergency Operations Center (SEOC) participation in response and recovery to, and the restoration of, critical life-line infrastructure programs are considered from initial event response, until there are no imminent life safety issues.
4. This annex addresses notification, activation, deployment, coordination, implementation and sustainment of the Business Emergency Operations Center (BEOC).

C. Policy

1. The Illinois Emergency Operations Plan (IEOP) will not supersede private sector response and recovery activities nor shall it require private sector to perform any services contrary to its policies and procedures.
2. The IEOP will not supersede existing contractual or other legal obligations between the state of Illinois and the private sector.
3. The governor, or designee, will authorize and direct the use of state resources to provide support and assistance to response and recovery efforts after consideration of both priorities of need and cost.
4. The governor or designee(s) will authorize waivers of certain restrictions.
5. State personnel will be trained for assigned missions and, to the extent possible, made aware of potential risks involved.
6. The BEOC, through the SEOC Private Sector Liaison (LNO), reports directly to the SEOC manager for command, control, communication and coordination of effort.
7. The Private Sector LNO will coordinate the donation of goods and services from the private sector in collaboration with the Voluntary Agency LNO and other SEOC LNOs as appropriate.
8. The SEOC will direct the expedited implementation of waivers and exemptions for the transit of critical private sector resources throughout response and recovery.
9. The Private Sector LNO will coordinate between the SEOC and private sector partners for ingress and egress to affected areas as pertaining to waivers and exemptions for mutual assistance for response and recovery.
10. Procedures for utilization, control and use of state resources will incorporate and/or consider operational priorities that include, but are not limited to the protection of life, public health and safety, property protection, environmental protection, restoration of essential utilities, restoration of essential program functions and coordination as appropriate.
11. Local governments shall access and utilize all available resources for response to, and recovery from, incidents and disasters affecting publicly owned critical infrastructure.
12. For the purposes of this annex, private sector mutual assistance is defined as partnerships established through a mutual assistance program among electric companies for expedited delivery of external

resources from other private sector companies entering the state to implement recovery.

13. Agreements are managed by seven Regional Mutual Assistance Groups (RMAGs). When a partner identifies a need for restoration assistance, a request initiated through an RMAG. RMAGs facilitate requests for assistance and assists in processes to identify available restoration workers and coordinate logistics and personnel involved in restoration efforts.
14. For the purposes of the IEOP, activities will be consistent with the National Infrastructure Protection Plan (NIPP).

D. Situation

1. A majority of the critical infrastructure and life-line services are owned and operated by the private sector.
2. A natural, human-caused or technological emergency or disaster has occurred resulting in the need for expedited response and recovery efforts that are coordinated between local, state, federal, private sector, voluntary and non-governmental organizations.
3. The scope and magnitude of the event requires coordination and collaboration of priorities, goals, objectives and resources for restoration and recovery of critical infrastructure with the private sector.
4. Public sector owned/operated utilities and services are augmenting private sector critical infrastructure, when possible, in order to facilitate restoration and recovery.
5. Implementation of mutual assistance among private sector organizations.

E. Assumptions

1. Critical infrastructure has inter- and intra-dependencies between government and the private sector.
2. Private sector organizations will respond immediately to begin restoration efforts without local or state activations.
3. Private sector organizations will coordinate with the BEOC to share intelligence and information, identify areas of assistance and maintain situational awareness on the overall response or recovery status.
4. Multi-jurisdictional access control issues will hinder damage assessment, restoration and recovery.

5. Federal government organizations, representatives and agencies are implementing response and recovery processes.
6. Coordination with local, state, federal, private sector, voluntary and non-governmental organizations (NGOs) is occurring throughout response and recovery.
7. Waivers and exemptions for the transit of resources for response and recovery will be required to expedite the restoration of life-line infrastructure.
8. Local, state, federal, mutual aid (assistance) and private sector resources will be utilized as necessary.

II. Concept of Operations

A. General

1. The state will provide support for the restoration of critical and life-line infrastructure in coordination with and activation of the BEOC and SEOC.
2. The Statewide Terrorism and Intelligence Center – Public Safety Group (PS-STIC) will provide collection, analysis and information sharing capabilities to support critical infrastructure.
3. The Private Sector LNO will coordinate with the National BEOC to support private sector information sharing and strategic awareness.
4. The U.S. Department of Homeland Security Protective Security Advisory (DHS-PSA) provides support to assist in critical infrastructure impact assessment and awareness.
5. State support is based on resource availability, capability and prioritization of effort.

B. Supporting Sections

1. Notifications, Alerts and Warnings
 - a) The SEOC Manager, or designee, will notify and alert the Private Sector LNO of implementation of the IEOP and activation of the SEOC as appropriate.
 - b) The Private Sector LNO will provide notifications, alerts and warnings to private sector partners as appropriate in accordance with BEOC Standard Operating Procedure (SOP).
 - c) Private sector partner organizations will carry out internal notifications, alerts and warnings.

2. Direction and Coordination

- a) The Governor or designee will activate resources from state agencies, boards and commissions for the purposes of response and recovery.
- b) The Governor or designee will request the assistance of local governments, non-governmental and voluntary organizations and the private sector to assist as appropriate to the scope and magnitude of effort.
- c) The BEOC will coordinate private sector offers of assistance and communicate those offers to the SEOC.
- d) The BEOC will coordinate with the SEOC to assist the private sector in emergency response.
- e) The SEOC will coordinate and manage the state's overall response to, and recovery from, incidents and disasters affecting critical infrastructure, public safety and life-line services.
- f) The SEOC will utilize a single incident management system for the collection, receipt, compilation and development of situational reports for both public and private sector intelligence and information sharing.
- g) The BEOC will coordinate private sector support, serve as a direct point of contact for information exchange and maintain situational awareness on critical and life-line infrastructure
- h) Local governments shall access and utilize all available resources for response to, and recovery from, incidents and disasters affecting publicly owned critical infrastructure.

3. Reporting Requirements

- a) The Private Sector LNO will serve as the coordinating point for private sector representatives utilizing the BEOC virtually, physically and/or as part of a Business Unified Incident Command (BUIC) in accordance with the BEOC SOP and SEOC Coordination and Management SOP.
 - 1) The Private Sector LNO will maintain situational updates; develop required intelligence briefings; and provide critical and priority information obtained through the BEOC to key-decision makers, executive officers and the SEOC.

- 2) The Private Sector LNO will provide the BEOC with situational updates; and provide critical and priority information obtained through the SEOC.
4. Communications
 - a) All communications state partners will be conducted in accordance IEOP Annex 3, Communications.
 - b) Communications with private sector organizations will be maintained through the utilization of:
 - (1) Telephone (landline and cellular);
 - (2) Text Messaging (SMS);
 - (3) Video Messaging;
 - (4) Electronic mail;
 - (5) WebEOC®;
 - (6) Homeland Security Information Network (HSIN);
 - (7) RSS Feed;
 - (8) SABER;
 - (9) Virtual BEOC (vBEOC);
 - (10) Other state operated incident management systems; and
 - (11) Significant Event Activity Log (SEAL) reports.
 - c) For the purposes of information sharing between the public and private sectors the SEOC will utilize a single incident management system to collect, receive, compile and develop situational reports.
 - d) The Private Sector LNO will develop, maintain and disseminate Significant Event Activity Log (SEAL) reports to the SEOC, BEOC and private sector organizations.
 - e) PS-STIC may establish and maintain a HSIN Public Safety Room.
5. Resource Management and Logistics
 - a) Fulfil resource management and logistics in accordance with IEOP Annex 9, Resource Management and Logistics.

6. Federal Coordination

- a) Direct state coordination with federal agencies will occur through appropriate and designated agencies.
- b) Direct private sector coordination with federal agencies will occur.
- c) The SEOC Private Sector LNO (LNO) will coordinate with FEMA Region V, and or the National Business Emergency Operations Center (NBEOC) as appropriate.

III. Role and Responsibilities

A. Illinois Emergency Management Agency (IEMA)

- 1. Develop plans, processes, and relationships, and facilitates coordinated response planning with the private sector at the strategic, operational, and tactical levels.
- 2. Share information with the private sector including threats and warnings, before, during, and after an incident.
- 3. Inform and orient the private sector on the contents of the State Emergency Operations Plan, and encourage and facilitate the development and coordination of equivalent private-sector planning.
- 4. Coordinate and conduct state incident management functions with the private sector, tribal, and local governments.
- 5. Develop, implement, and operate information-sharing and communication strategies, processes, and systems with the private sector and homeland security stakeholders.

B. Business Emergency Operations Center (BEOC) Partner Agencies

- 1) Coordinate with suppliers and customers to identify and manage potential cascading effects of incident-related disruption through contingency planning.
- 2) Share information appropriate within the law with the government.
- 3) Provide goods and services through contractual arrangements or government purchases, or and where appropriate, mutual aid and assistance agreements with host communities.
- 4) Act as corporate citizens to donate and facilitate donations by others of goods and services.

- 5) Mobilize and employ necessary and available resources to address the consequences of incidents at owner-controlled facilities or incidents for which the owner bears legal responsibility.
- 6) Participate in damage assessment processes to establish efforts necessary for community recovery and long-term vulnerability reduction.

IV. Authorities and References

A. Authorities

1. Robert T. Stafford Disaster Relief and Emergency Assistance Act, as amended, 42 U.S.C. § 5121 et seq.
2. 49 Code of Federal Regulations, 390.23
3. 20 ILCS 2610, Illinois State Police Act
4. 625 ILCS 5 Illinois Motor Vehicle Code, Chapter 15, Illinois Size and Weight Law.

B. References

1. National Response Framework (NRF)
2. BEOC Roles and Responsibilities Check List/Draft BEOC SOP
3. BEOC Quick Start Guide